PTO/SB/05 (05-03)

Approved for use through 04/30/2003. OMB 0651-0032

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UTILITY					Attorney Docket No. CRODA 3.0-013 CIP								
					First I	First Inventor Abel G. Pereira							
PATENT APPLICATION TRANSMITTAL				Title	IMI	IMMIDAZOLINE QUATS							
(Only for new nonprovisional applications under 37 CFR 1.53(b))					Express Mail Label No. EV342608648US_							110	
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		APPI	ICATION ELEMENTS	S		A C	DDRF	ESS 7	ro. Co	mmiss	sione	r for Patents	o
S	ee MF	PEP chapter 600	conceming utility patent appl	ication con	tents.	' '			P.0	O. Box exandr		0 A 22313-1450	9249 U.S. PTO
1.	Х	Fee Transmitta	Form (e.g., PTO/SB/17), and a duplicate for fee processing)			7.			M or CD-F	R in dup	olicate	, large table or	w.S
2.		Applicant claim	s small entity status.			Computer Program (Appendix) 8 Nucleotide and/or Amino Acid Sequence Submission							
з.	×	See 37 CFR 1.2 Specification	27. [Total Pag	ges 71			(if ap	7	e, <i>all nece:</i> puter Read	• • •	orm ((CRE)	9,5
l] ` (preferred arrange	ement set forth below)	,	Ш,			ا ل	ion Seque		•	•	0. 21.4
		 Cross Refere 	le of the invention nce to Related Applications				о. Ор	i.	7		-		⊶ Paper
		 Reference to 	garding Fed sponsored R & D sequence listing, a table,				c.	State	J		•	of above copies	
		 Background of 				ACCOMPANYING APPLICATIONS PARTS							
		 Brief Descript 	y of the Invention ion of the Drawings (if filed)			Assignment Papers (cover sheet & document(s))							
		 Detailed Desc Claim(s) 	•			10.			3.73(b) S			Power of	
4.		- Abstract of the Drawing(s) (35		ets		11.			<i>here is an</i> Translatio	-		☐☐ Attorney (if applicable)	
5.	Oath	or Declaration	[Total She	eets		12.	H,	nforma	tion Disclo	sure		Copies of IDS Citations	
	a.	Newly exec	cuted (original or copy)			13.			nary Amen		45	Citations	
	b.		a prior application (37 CFR 1			14.	II.	Return I	Receipt Po	ostcard	(MPE	P 503)	
	1		tion/divisional with Box 18 compi FION OF INVENTOR(S)	leted)		(Should be specifically itemized) Certified Copy of Priority Document(s)							
		Signed	statement attached deleting (s) named in the prior application			(if foreign priority is claimed) Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).							
			CFR 1.63(d)(2) and 1.33(b).	,		ľ	₩′	Applica	nt must att	ach for	m PT	O/SB/35 or its equivalent.	
6.	х	Application Data	a Sheet. See 37 CFR 1.76			17.	Ш,	Other:					
	ننيا	1	PLICATION, check appropr	riate box. a	nd suppl	v the re	auisite	inform	ation helo	w and i	in the	first sentance of the speci	fication
fol	lowing	g the title, or in ar	Application Data Sheet und	er 37 CFR	1.76:		-q <i>u</i> /3/(0	, 111101111	auon beio	w and i	iii aic	mst semence of the speci	iicaliori
		Continuation	Divisional X Co	ntinuation-	, ,	•	of prior	r applic	ation No.:			10/339,551	
			nation: Examiner	John F					Art Unit:			1751	
un	der Bo	ox 5b, is conside	DIVISIONAL APPS only: Tred a part of the disclosure of	of the accor	mpanying	a conti	nuatior	n or div	isional ap	plicatio	n and	is hereby incorporated by	ed Y
ref	erenc	e. The incorpora	tion can only be relied upon	when a po						om the	submi	itted application parts.	
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Со	untry			Tele	phone						Fax		
ſ	Name	e (Print/Type)	Michael H. Tesch	ner ₂		1	Registr	ation N	o. (Attorne	ey/Ager	nt)	32,862	
	Signa	ature	200	~					$\overline{}$	Date	T.,i.	ılv 23, 2003	



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\mathcal{L}			US	Patent :	and Tradom:	ed for use through 04/30/2003. ON	001445555		
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FEE TRANSMITTAL	C mplete if Known Application Number Not Yet Assigned								
for FY 2003	Filing Date				Jei -	Concurrently Herewith			
Effective 01/01/2003, Patent fees are subject to annual revision.		First Named Inventor			entor	Abel G. Pereira			
		Examiner Name				John R. Hardee			
Applicant claims small entity status. See 37 CFR 1.27	Art Unit 1751					1751			
TOTAL AMOUNT OF PAYMENT (\$) 1,310.00	Attorney Docket No. CRODA 3.0-013 CIP								
METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)								
Check Credit Money Order Other None	3. ADDITIONAL FEES								
X Deposit Account									
Deposit Account 12-1095	Fee								
Number David Littonborg	Code	(\$)	Code	(\$)		Fee Description	Fee Paid		
Deposit Account Name Krumholz & Mentlik, LLP	1051	130	2051	65	Surcharge	- late filing fee or oath			
The Director is hereby authorized to: (check all that apply)	1052	50	2052	25	Surcharge sheet.	- late provisional filing fee or cover			
X Charge fee(s) indicated below X Credit any overpayments	1053	130	1053	130	Non-Englis	h specification			
X Charge any additional fee(s) during the pendency of this application	1812	2,520	1812	2,520	For filing a r	equest for ex parte reexamination			
Charge fee(s) indicated below, except for the filing fee	1804	920*	1804	920*	Requesting Examiner a	publication of SIR prior to			
to the above-identified deposit account.	1805	1,840*	1805	1,840*		publication of SIR after			
FEE CALCULATION	1251	110	2251	55	_	or reply within first month			
1. BASIC FILING FEE	1252	410	2252	205		or reply within second month			
Large Entity Small Entity Fee Fee Fee Fee Fee Paid	1253 1254	930 1,450	2253	465 725		or reply within third month			
Code (\$) Code (\$) 1001 750 2001 375 Utility filing fee 750.00	1255	1,970	2254	725 985		or reply within fourth month			
1002 330 2002 165 Design filing fee	1401	320	2401	160	Notice of A	or reply within fifth month			
1003 520 2003 260 Plant filing fee	1402	320	2402	160	Filing a brie	f in support of an appeal			
1004 750 2004 375 Reissue filing fee 1005 160 2005 80 Provisional filing fee	1403	280	2403	140		oral hearing			
	1451 1452	1,510 110	1451 2452			nstitute a public use proceeding evive – unavoidable			
SUBTOTAL (1) (\$) 750.00	1453	1,300	2453	650		evive - unintentional			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Extra Fee from	1501	1,300	2501	650	Utility issue	fee (or reissue)			
Claims below Fee Paid	1502	470	2502		Design issu	į			
Independent 4 3** - 1 × 84.00 - 84.00	1503 1460	630 130	2503 1460			fee the Commissioner			
Claims	1807	50	1807			fee under 37 CFR 1.17(q)			
Large Entity Small Entity	1806	180	1806		_	of Information Disclosure Stmt	———		
Fee Fee Fee Code (\$) Fee Description	8021	40	8021	40	Recording e	ach patent assignment per			
1202 18 2202 9 Claims in excess of 20	1809	750	2809	375	Filing a subr	nes number of properties) mission after final rejection			
1201 84 2201 42 Independent claims in excess of 3					(37 CFR 1.1 For each ad	29(a)) ditional invention to be			
1203 280 2203 140 Multiple dependent claim, if not paid 1204 84 2204 42 ** Reissue independent claims	1810 1801	750 750	2810 2801	3/3	examined (3	7CFR 1.129(b))			
over original patent	1802	900	1802	900	Request for	Continued Examination (RCE) expedited examination			
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent		of a design application							
SUBTOTAL (2) (\$) 560.00				ling Fee	Paid	SUBTOTAL (3) (\$)	0.00		
**or number previously paid, if greater; For Reissues, see above									
SUBMITTED BY	Post-t	4ia= 41				Complete (if applicable)			
Name (Print/Type) Michael H. Teschner	Registra (Attorney		32	,862		Telephone (908) 654-5000	1		

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Michael H. Teschner	Registration No. (Attorney/Agent)	32,862	Telephone	(908) 654-5000
Signature	200			Date	July 23, 2003